

MIKE NGUYEN
Vice President

Phone: (213) 896-4533
Fax: (213) 623-4112
mike.nguyen@analysisgroup.com

333 South Hope Street
27th Floor
Los Angeles, CA 90071

Mike Nguyen specializes in economic and financial issues pertaining to litigation and complex business matters. He has conducted damages assessments in cases involving investment management and research, investment suitability, real estate, dealership disputes, commercial damages, and valuation of privately held companies. He has examined the mortgage lending industry, analyzed equity research and the construction and management of investment portfolios, and performed numerous valuations of organizations for use in strategic advisory and litigation settings. Mr. Nguyen has assisted attorneys in all phases of pretrial and trial practice and has provided expert testimony in litigation matters.

Mr. Nguyen holds an MBA from The Anderson School at UCLA where he specialized in finance and accounting. He also holds a B.S. degree in mathematics and economics from UCLA. Mr. Nguyen has more than fifteen years of professional experience in economic and financial consulting and investment banking.

EDUCATION

- 2002 MBA in Finance and Accounting, The Anderson School, University of California, Los Angeles
- 1995 B.S. in Mathematics and Economics with a Specialization in Business and Administration, University of California, Los Angeles

PROFESSIONAL EXPERIENCE

- 2008-Present Vice President; Analysis Group, Inc., Los Angeles, CA
- 2004-2008 Manager; Analysis Group, Inc., Los Angeles, CA
- 2002-2004 Associate; Analysis Group, Inc., Los Angeles, CA
- 2001 Associate; Investment Banking Division, Credit Suisse First Boston, Los Angeles, CA
- 1995-2000 Research Analyst and Senior Research Analyst; Analysis Group, Inc., Los Angeles, CA

HONORS

- Full Football Scholarship Recipient, University of California, Los Angeles, 1991-1995
- Youngest Inductee into the Portland Interscholastic League's Sports Hall of Fame, 2004
- National Football Foundation Scholar-Athlete Award

SELECTED CASE ASSIGNMENTS

Real Estate and Valuation

- Cecil Barret, Jr., et al. v. Option One Mortgage Corporation, et al.
United States District Court, District of Massachusetts
Co-led a case team supporting an Academic Affiliate in evaluating whether plaintiffs' statistical analyses showed a common mode of exercising discretion in loan pricing across brokers and a common disparate impact on minority borrowers.
- City of Los Angeles v. Bank of America Corporation, et al.
United States District Court, Central District of California
Supported academic affiliate in analyzing alleged violations of the Fair Housing Act. Assessed allegations of "redlining" and "reverse redlining" and the City's claims for reimbursement for lost tax revenues and repayment for the costs of repairing and maintaining properties that go into foreclosure due to discriminatory lending.
- EMC Mortgage Corporation v. Ameriquest Mortgage Corporation
District Court of Denton County, Texas 16th Judicial District
Supported academic affiliate in preparing expert report analyzing how trends in residential real estate prices, loan repurchase obligations, and developments in the securities markets interacted to force the closure of many subprime lenders.
- In re September 11 Litigation
United States District Court, Southern District of New York
Co-led a case team that supported academic affiliate in estimating the value of the 99-year master leasehold interests held by Silverstein Properties and calculating damages from destruction of World Trade Center Towers One, Two, Four, Five and Seven on September 11, 2001.
- Confidential Assignment – Residential Mortgage Underwriting Standards
Evaluated the impact on minority borrowers of changes to underwriting standards instituted by a large residential mortgage lender that were motivated by falling residential real estate values.
- Confidential Assignment – Mortgage Lending and Mortgage Insurance
Support academic affiliate in preparing expert report analyzing how developments in the real estate and mortgage lending industries affected lenders, mortgage insurance companies, and mortgage default rates since 2000.
- Confidential Assignment – Mortgage Default Modeling
Using loan servicing data from a national mortgage lender, developed econometric models of residential mortgage defaults to identify the impact of various property, borrower, and loan characteristics on the probability of default.
- Denise P. Edwards v. First American Corporation, et al.
United States District Court, Central District of California
Estimated the values of various interests in title insurance agencies acquired by First American to determine if purchase prices exceeded fair market value and constituted kickbacks for referrals of title insurance business under RESPA.

- Sunset Drive Corporation v. City of Redlands
United States District Court, Central District of California
Estimated damages resulting from the City of Redlands' denial of building permits for a multi-family low-income housing development. Estimated losses to the developer and conducted statistical analyses of the low-income housing market, including a study of whether minority households were more likely to be affected by the housing denial.
- Eagle Real Estate Group, LLC, et al. v. Kenneth R. Melton, et al.
Superior Court of California, County of Orange
Supported an academic affiliate in the valuation of multi-family residential properties and estimation of damages resulting from breach of a partnership agreement.
- Conrad Lee, et al. v. Deloitte and Touche, et al.
American Arbitration Association
Estimated the fair market value of seven privately-held companies, evaluated factors leading to the failure of a professional services firm, and estimated damages resulting from non-disclosures in a roll-up transaction involving more than 30 professional service companies.
- Confidential Engagement (Accounting)
Evaluated potential purchase-price adjustments following the acquisition of a private company. Authored opinion letter on the reasonableness of the company's profitability projections.

Securities and Investment Management

- Florida State Board of Administration of Florida v. Alliance Capital Management
Circuit Court of Leon County, State of Florida
Conducted economic and financial analyses in response to claims that Alliance Capital mismanaged the Florida State Board of Administration's institutional account by, among other things, investing in Enron Corporation stock.
- Board of Trustees of the AFTRA Retirement Fund v. JPMorgan Chase Bank, N.A.
United States District Court for the Southern District of New York
Supported affiliate in the examination of JPMorgan's decision to purchase and hold certain medium-term notes issued by a structured investment vehicle (SIV) in its securities lending portfolios and whether such decisions were reasonable and appropriate.
- Michael J. Goodman, et al. v. Genworth Financial Wealth Management, Inc.
United States District Court for the Eastern District of New York
Analyzed whether Genworth followed its agreed-upon investment strategy in managing its high-net-worth clients' portfolios. Assessed class certification issues.
- Broadbill Investment Corporation v. Washington Mutual, Inc.
United States Bankruptcy Court for the District of Delaware
Supported Academic Affiliate in preparing expert report addressing whether securities known as litigation tracking warrants (LTWs) were debt or equity instruments.
- Confidential Engagement (Equity Research)
United States District Court for the Southern District of Texas, Houston Division
Examined allegations of conflicts of interest with respect to the development of equity research reports on Enron Corporation. Evaluated the research practices of a leading investment bank.

- Confidential Engagement (Equity Research)
Circuit Court of Jefferson County, Alabama
Examined allegations of conflicts of interest with respect to the development of research reports on HealthSouth Corporation. Evaluated the research practices of a leading investment bank.
- Malik M. Hasan v. Goldman Sachs & Company
American Arbitration Association, New York, New York
Evaluated allegations of wrongdoing with respect to the construction and management of two multi-billion dollar exchange fund investment portfolios.
- Confidential Engagement (Mutual Fund Industry)
United States District Court, District of Kansas
Examined allegations that several mutual funds charged shareholders excessive fees in violation of Section 36(b) of the Investment Company Act of 1940. Reviewed and assessed the investment processes implemented by portfolio managers and equity research analysts.
- Confidential Engagement (Mutual Fund Industry)
United States District Court, Western District of Wisconsin
Examined allegations of excessive recordkeeping and administrative fees incurred by an employer's 401-k plan participants.
- In re Marriage of Brandes
Superior Court of California, County of San Diego
Examined factors within the investment management industry contributing to the growth of investment management firms.
- MGM v. Sony Pictures
United States District Court for the Southern District of Texas, Houston Division
Conducted econometric study to estimate damages to MGM's IPO as a result of Sony's alleged misrepresentations regarding the "James Bond" franchise.

Automobile Industry

- Chrysler Group, LLC Dealer Arbitrations
Testified on behalf of Chrysler Group, LLC in arbitrations against rejected dealerships as part of Chrysler's post-bankruptcy dealer network optimization plan. Opined on accounting, financial and economic issues related to the performance of the dealerships as well as Chrysler's future viability.
- Alexander v. Kia Motors America, Inc.
Superior Court of California, County of Orange
Analyzed damages in a class action alleging fraud, breach of warranty and unfair business practices related to alleged defects in Kia vehicle restraint systems.
- Shamell Samuel-Bassett, et al. v. Kia Motors America, Inc.
Court of Common Pleas, Philadelphia County
Estimated excess depreciation experienced by owners of the Kia Sephia resulting from an allegedly defective braking system.
- Confidential Engagement (Automobile Industry)
Rebutted plaintiffs' damages claims in a failure to award a dealership dispute.

- Confidential Engagement (Automobile Industry)
Conducted damage analyses and assessed manufacturer's vehicle allocation methodology in a failure to award a dealership dispute.

PRIOR TESTIMONY

- Deposition testimony in the matter of the arbitration between *Margaret McCarthy v. Goldline International, Inc.*, JAMS Case No. 1220043397. (July 2012)
- Deposition testimony in *Wineesa Cole, et al. v. Asurion Corporation, T-Mobile USA, Inc., et al.*, United States District Court, Central District of California, Case No. CV-06-6649-PSG (JCx). (July 2010)
- Expert testimony in the matter of the arbitration between *Perry Ford of San Luis Obispo, D/B/A Perry Jeep v. Chrysler Group, LLC*, AAA Case No. 77-532-000060-10. (June 2010)
- Expert testimony in the matter of the arbitration between *Rimrock Chrysler, Inc. D/B/A Rimrock Chrysler Jeep v. Chrysler Group, LLC*, AAA Case No. 77-532-000100-10. (May 2010)
- Expert Declaration in *Ronald Tussey, et al. v. ABB, Inc., et al.*, United States District Court, Western District of Missouri, Civil Action No. 06-CV-04305. (March 2009)
- Expert Declaration in *Wineesa Cole, et al. v. Asurion Corporation, T-Mobile USA, Inc., et al.*, United States District Court, Central District of California, Case No. CV-06-6649-PSG (JCx). (July 2008 and November 2009)